



Simplify Your Risk-Based Screening Program






Managing escalating sanctions requirements in a rapidly evolving global economy while balancing the realities of operations and budget constraints is a complex challenge. Businesses demand access to relevant screening data which is used for tailored due diligence strategies and to mitigate risk exposure.

LexisNexis® WorldCompliance™ Data can be used to support a risk-based approach that helps your business navigate shifting sanctions, compliance and anti-bribery obligations by fortifying screening with a comprehensive database of sanctions, enforcements, state-owned enterprises, PEP, adverse media and more.

Efficiently control the impact of changing compliance expectations

WorldCompliance Data enables your business to quickly filter and identify screening information that is relevant to your risk workflow without compromising critical compliance requirements. Through a seamless integration with LexisNexis® Bridger Insight® XG, LexisNexis® Firco™ screening solutions or via a hosted interface within your own network, WorldCompliance Data offers more than 60 risk categories and subcategories linked to money laundering crimes that can be tailored to fit your specific, risk-based screening protocols.

In addition, WorldCompliance Data delivers reliable and robust coverage of high-risk individuals and entities to help your business:




-  • Streamline initial due diligence and on-boarding at account opening
-  • Prioritize alerts and quickly identify areas to perform deeper due diligence
-  • Improve continuous monitoring of entity related changes
-  • Refresh stagnant due diligence information
-  • Reduce compliance costs

By facilitating efficient screening for large customer and third-party databases, WorldCompliance Data helps businesses expedite risk assessment, accelerate customer acquisition and standardize compliance processes across the enterprise.

Count on the advantage of comprehensive global risk intelligence

We employ a rigorous investigative process to provide comprehensive databases of high-risk individuals and legal entities. The process includes a thorough sequence of research, vetting and data compilation that leverages our unmatched network of global research experts supported by over 450 researchers with fluency in over 50 languages, placed strategically across 5 continents. Our proprietary automated adverse media monitoring and detection systems provide 24/7 awareness of relevant risk events that could impact your business.

With WorldCompliance Data your business can flexibly access continuously updated data that fits into screening workflows to help you:

-  • Connect to extensive identity databases which encompass over 5 million profiles of individuals and companies.
-  • Increase visibility into global adverse media profiles from over 30,000 feeds worldwide
-  • Simplify screening for Politically Exposed Persons and their family members and associates by easily filtering only the data that is relevant to your requirements

The combination of our precise, proven data compilation processes and deep research expertise translates into the decisioning confidence you need to rapidly recognize risk without interrupting regular business workflows.

Connect to the most recent global risk insights

WorldCompliance Data helps optimize critical operations by securely delivering current and continuously updated screening data. We help your business reduce false positives, unnecessary alert remediation and reduce costs by delivering risk insights that are frequently refreshed to reflect the most recent global risk realities.

WorldCompliance Data:

More than 60 data categories and sub-categories, including:

- Sanctions
- Sanctions Associated Entities
- Sanctions Ownership
- Enforcements
- Politically Exposed Persons
- State-Owned Enterprises
- Adverse Media
- Registrations

Content:

- WorldCompliance profiles include critical identifiers such as:
 - Date of birth and place of birth
 - National ID numbers
 - Native script names
- Intelligence units on five continents
- This global dataset is updated on a daily basis

Delivery:

Frequency: Download daily deltas; weekly full file

File Formats: XML, KZ and Text Delimited

Clarify risk by specific categories

Our robust global risk intelligence enables your business to easily integrate proven data science across critical risk management and compliance processes. Achieve higher levels of decisioning precision with database segments that are tailored to fit specific financial crime compliance and risk management strategies, including:

- **Sanctions:** Aggregates information from the most important sanction lists worldwide (including OFAC, EU, UN and HMT), grouping them into one category and making them available in a single consolidated view or as individual listings containing data taken solely from each sanctions source.
- **Enforcements:** Access information from over 1,600 enforcement sources worldwide, such as the SEC, FBI and UK FCA.
- **Sanctions Ownership:** Connect to profiles of businesses, vessels, and aircraft owned or controlled by persons listed on an OFAC, EU, or UK Her Majesty's Treasury (HMT) sanctions list.
- **Other Entities associated with sanctions:** These entities are not named in sanctions sources but may pose additional sanctions risk owing to their relationship to a sanctioned subject, e.g. family members and associates of sanctioned entities.
- **Politically Exposed Persons (PEPs):** Benefit from our in-depth, more inclusive coverage of almost 2 million structured profiles of PEPs that reflects our standardized research parameters and raises your visibility into critical data points and attributes. We organize PEPs by administrative divisions to provide your business with consistent, universally applicable and systematically structured parameters that help define the levels of power, prominence and potential risk represented by an individual, their family members and key associates. We also provide Micro PEP data for countries including Brazil, Italy and Canada.
- **Adverse Media:** An extensive proprietary database of profiles that have been linked to illicit activities from over 30,000 news feeds worldwide.
- **State Owned Enterprises:** Accurately assess the risk posed by an entity and the associations and relationships of the people connected with that entity with an encompassing list of government-owned and government-linked corporations and businesses. Our highly-structured methodology captures businesses and entities with 1% or more investment or ownership by a government organization or state. By utilizing a consistent, standardized approach in our research and profiling, we

can provide more inclusive insights on State Owned Enterprises, including the executive and managerial levels of decision influencers, company vertical/domain information and details on ownership percentages.

- **Registrations Data:** Concentrated coverage of registration lists focused on specific risk and compliance issues built from various government sources. This data helps identify entities that have registered with a government body for the purpose of complying with the government's rules and regulations. The compliance registration may be in the form of a license issued by a government body or via formal registration with an enforcement agency. This data segment includes:

- **Marijuana Registered Businesses:** Aggregated listing of all officially U.S. state-licensed or marijuana registered businesses for recreational or medical use that can be used to identify the legal status of companies you conduct business with and strengthen compliance with federal law.
- **FATCA-Foreign Financial Institutions:** Aggregated list of registered foreign financial institutions and their Global Intermediary Identification Numbers (GIIN) that can be used for screening purposes and to strengthen your ability to identify and track Foreign Financial Institutions that are compliant with FATCA law.
- **Money Service Businesses:** Lists of Money Service Businesses (MSBs) registered with

either the United States or United Arab Emirates governments. These lists can be used to ensure that you are only doing business with officially-registered MSBs in these countries.

- **IHS Registered Vessels:** A list of vessels flagged to certain high-risk countries sourced from IHS Markit, the official manager of IMO ship identification numbers.

Capture the advantage of precise sanctions perspective

WorldCompliance Data delivers customized, access to comprehensive and current sanctions data to support the evolving requirements of your risk-based strategies. We deliver seamless access to authoritative, global financial crime compliance intelligence so businesses can synchronize screening, increase operational efficiencies and keep transaction workflows on track. Mitigate the impact of global volatility, meet complex compliance expectations and stay focused on business goals with streamlined, risk-based screening, powered by LexisNexis WorldCompliance Data.



LexisNexis® Risk Solutions recognized as a category winner for Financial Crime Data in Chartis Research's RiskTech100® study for the fourth consecutive year

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About LexisNexis Risk Solutions

LexisNexis® Risk Solutions harnesses the power of data and advanced analytics to provide insights that help businesses and governmental entities reduce risk and improve decisions to benefit people around the globe. We provide data and technology solutions for a wide range of industries including insurance, financial services, healthcare and government. Headquartered in metro Atlanta, Georgia, we have offices throughout the world and are part of RELX (LSE: REL/NYSE: RELX), a global provider of information-based analytics and decision tools for professional and business customers. For more information, please visit www.risk.lexisnexis.com, and www.relx.com.