

Elevate Your Compliance Strategy With End-To-End Blue Sky Expert Support

Blue sky compliance complexity — and risk — are increasing

Managing blue sky compliance across 50 states has become a regulatory high-wire act. Each jurisdiction enforces its own set of securities laws, creating a patchwork of regulations that are continually evolving. Filing obligations, documentation standards and deadlines frequently change, leaving compliance teams struggling to keep up.

Without an automated system in place and compliance experts to offer guidance, your organization risks making costly mistakes. Chasing filings and reacting to last-minute changes is not a compliance strategy. In this fluid environment, compliance isn't just a box to check — it's a matter of protecting your firm's reputation, operational efficiency and bottom line.

Blue sky non-compliance exposes your organization to risks



Legal costs



Regulatory penalties



**Additional
administrative burden**

Reduce your risk and workload

With regulatory demands growing and serious consequences for mistakes, you need more than manual checklists and reactive workflows. LexisNexis® Blue Sky Solution™ combines deep regulatory and industry expertise with our purpose-built compliance platform, designed to maximize cost savings and strengthen your compliance from every angle. Shift from managing compliance to mastering it with Blue Sky Solution™

Flexible services to fit your compliance needs

Pick the Blue Sky Solution option that works best for your business:

Self-serve — A licensed, standalone solution designed for internal use. Ideal for organizations with an in-house compliance team and service providers managing vendor oversight responsibilities.

Outsourced management — End-to-end outsourced management of blue sky compliance. A full-service option that relieves you of the complexity and burden associated with staffing a dedicated compliance team. Perfect for firms seeking business continuity and succession planning.



Expert support from compliance professionals

Don't navigate compliance challenges alone. Blue Sky Solution is fueled by our team of seasoned compliance professionals who understand the intricacies and evolving requirements of each state's rules. Think of these pros as an extension of your team. Whether you need help interpreting a filing requirement, crafting a response to regulators or managing high-volume periods, our experts are ready to roll up their sleeves and get the job done.

Our blue sky experts know the angles. They tap their deep regulatory experience to help you optimize your compliance program for maximum savings.



Faster turnaround through smart integrations

Streamline your processes. When you're launching new products or responding to sales activity, time is of the essence. Blue Sky Solution integrates directly with Blue Express™ Manager and NASAA's EFD platform, reducing the turnaround time for filings from days to hours.



Efficiency begins with end-to-end automation

Eliminate bottlenecks when you automate your critical compliance workflows. Use Blue Sky Solution to tackle everything from initial registrations and amendments to renewals and real-time sales tracking. This innovative platform features built-in calendar alerts, sales warnings, task assignments and batch processing to boost your productivity while helping ensure consistency across jurisdictions.



Clear visibility, better forecasting

You're in control with detailed Blue Sky Solution dashboards. Access real-time intelligence on status updates, sales activity, filing deadlines, ongoing monitoring and more. The insights you need are right at your fingertips. Better visibility reduces mistakes and improves the accuracy of your compliance expense forecasts.

Prioritize what you do best

Cut costs tied to blue sky infrastructure — hardware, maintenance, staffing and training — while managing your compliance risk. Blue Sky Solution delivers a reliable model with streamlined, effortless compliance, so your business can stay focused on what it does best.

Schedule a demo to see how Blue Sky Solution can help your organization.

Visit risk.lexisnexis.com/blue-sky

