

## Case Study:

# One of the World's Largest Investment Banks Relies on LexisNexis® for International Compliance



More than 4,000 banks and financial institutions rely on LexisNexis for risk management and compliance.

## Overview

One of the world's largest international investment banks.

## The Challenge

In response to today's increased regulatory climate, this financial institution performed a self-audit of thousands of international banking transactions completed over the course of two years. The organization analyzed several criteria (e.g., the account holders, banks, country in which these banks reside, recipients, type of transactions) and discovered that it needed to improve its fraud prevention and KYC (Know Your Customer) programs.

## The Solution

Together with our global partners, LexisNexis® offers this investment bank an advanced due diligence solution—LexisNexis® Bridger Insight™ XG—that provides the investigative intelligence necessary to evaluate the legality of international transactions. With access to unparalleled global data and resources, the solution validates the entity and individuals associated with every transaction. The solution facilitates full compliance with the USA PATRIOT Act, and, even more importantly, the LexisNexis solution helps preserve the integrity of the financial institution by identifying suspicious behavior and giving the institution the opportunity to proactively terminate relationships with these people or entities.

## The Results

- Gives the bank the tools it needs to have complete knowledge about international transactions and the people and entities who initiate them.
- Provides enhanced due diligence from domestic online sources, domestic jurisdiction and court records and international investigative resources.
- Enables the investment bank to fulfill the requirements of the PATRIOT Act and maintain compliance.
- Protects the integrity of the financial institution by enabling the organization to proactively take steps to protect the clients' assets.

## LexisNexis: The single source for risk mitigation and fraud prevention

LexisNexis is a preferred vendor for the financial services industry for successfully helping organizations reduce fraud, mitigate risk and maintain compliance. More than 4,000 banks and financial institutions rely on LexisNexis for risk management and compliance.

To prosper in a rapidly changing business world, you need timely access to critical information. LexisNexis provides solutions to help you make decisions that mitigate financial risk, enhance business relationships and help improve overall profitability. Whether the answer lies in public filings, proprietary databases or analytical engines, LexisNexis has the technology, tools and services to deliver information solutions quickly, cost-effectively and, most importantly, the way you want them. Welcome to a whole new world of business information resources.

### For more information:

Call 866.858.7246 or visit  
[lexisnexis.com/risk/financial-services](http://lexisnexis.com/risk/financial-services)

#### About LexisNexis Risk Solutions

LexisNexis Risk Solutions ([www.lexisnexis.com/risk](http://www.lexisnexis.com/risk)) is a leader in providing essential information that helps customers across all industries and government predict, assess and manage risk. Combining cutting-edge technology, unique data and advanced scoring analytics, we provide products and services that address evolving client needs in the risk sector while upholding the highest standards of security and privacy. LexisNexis Risk Solutions is part of Reed Elsevier, a leading publisher and information provider that serves customers in more than 100 countries with more than 30,000 employees worldwide.

Our financial services solutions assist organizations with preventing financial crime, achieving regulatory compliance, mitigating business risk, improving operational efficiencies and enhancing profitability.

With access to unparalleled global data and resources, Bridger Insight XG validates the entity and individuals associated with every transaction.



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