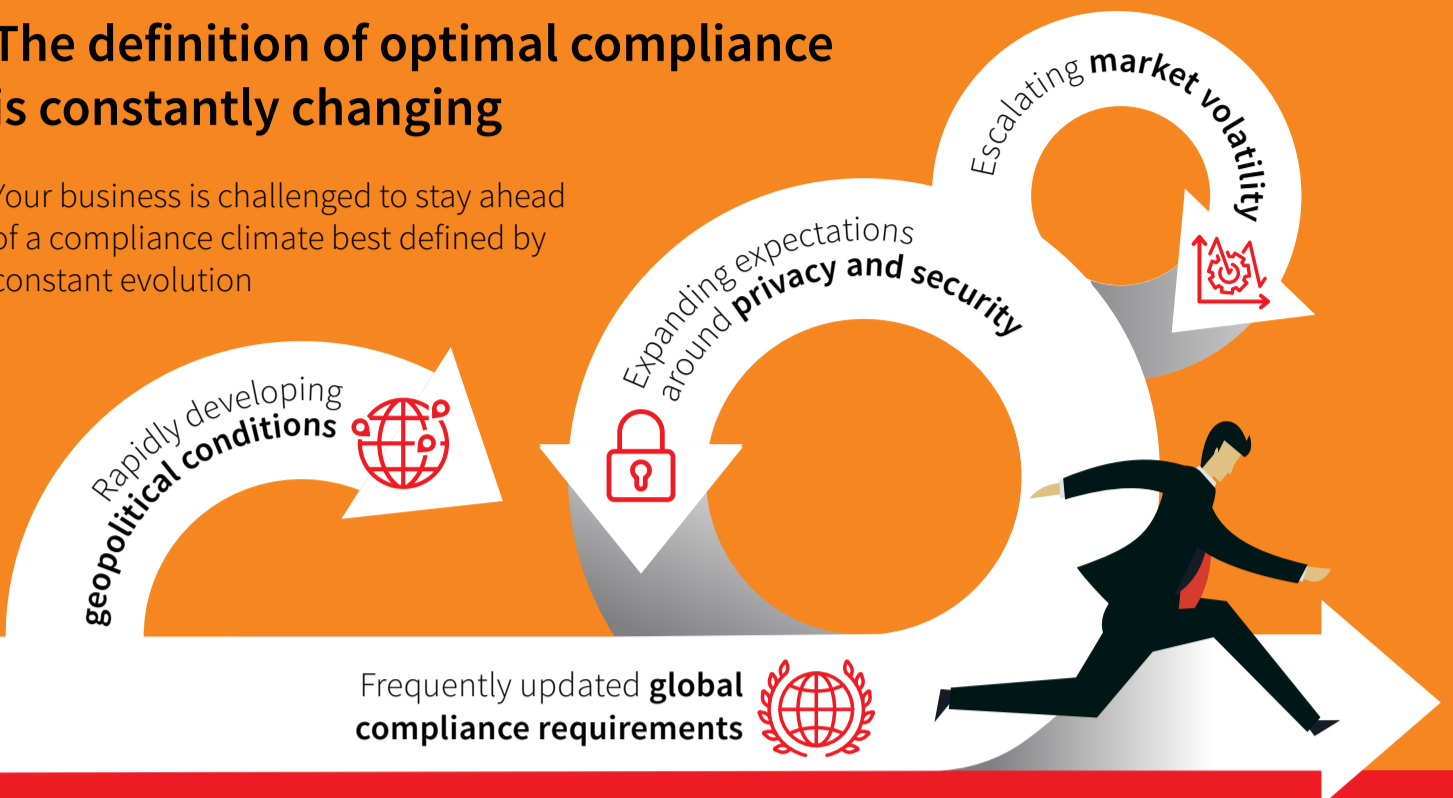


6 Questions You Should Be Asking About Your Compliance Program

Evaluate the effectiveness of key compliance processes with LexisNexis® Professional Services

The definition of optimal compliance is constantly changing

Your business is challenged to stay ahead of a compliance climate best defined by constant evolution



In 2020, the U.S. Department of the Treasury updated its sanctions list **over 150 times**.¹

An outdated compliance program opens your business to costly risk, reputational damage and penalties.



Money launderers and corrupt individuals constantly increase the complexity of their financial crime schemes and carefully focus on exploiting weaknesses and gaps in your compliance program

Out-of-date configurations may be less effective than their original counterparts, allowing bad actors to slip through vulnerable defenses

In a dynamic threat environment, **stagnant data sets your business up for compliance risks**. Inconsistent compliance practices and poor data quality can contribute to missed true matches.

When it comes to regulatory audits, **out-of-date compliance documentation can be perceived as negligent** and lead to negative operations impacts.

What you don't know may already be damaging your business

These 6 questions you may not be currently answering could open your business to additional compliance risk

- 1 Are your compliance officers properly trained?
- 2 Are your LexisNexis® Bridger Insight® XG configurations up-to-date?
- 3 Are your batch files correctly formatted?
- 4 Does your compliance policy reflect recent changes in global regulations?
- 5 Are your API integrations optimized?
- 6 Are your justification documents up-to-date?



Stay ahead in a changing world of risk with more effective compliance

The LexisNexis® Professional Services team can help keep your compliance program at the forefront of regulatory changes and geopolitical developments. Count on our team of experts to help evaluate and monitor the effectiveness of your compliance policies, rules and settings. Contribute greater value across your enterprise with a fully optimized compliance program.

Contact us to learn more:
risk.lexisnexis.com/ProfessionalServices
800.658.5638



1. <https://www.treasury.gov/resource-center/sanctions/OFAC-Enforcement/Pages/OFAC-Recent-Actions.aspx>

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